

SUBJECT: ROUTINE MAINTENANCE, CAPITAL ASSET
MANAGEMENT, AND MISCELLANEOUS
FACILITIES MANAGEMENT DEPARTMENT
SERVICES

NUMBER: 315

AUTHORIZING BODY: PRESIDENT'S CABINET

RESPONSIBLE OFFICE: FACILITIES MANAGEMENT

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RATIONALE: To establish institutional standards for assigning and managing funding responsibilities for Routine Maintenance, Capital Asset Management, and Facilities Management services.

POLICY: Routine Maintenance of General Fund facilities (academic, administrative, intercollegiate athletic, parking decks/lots), utility services, roads, walkways and grounds up to each building is funded from the general fund, primarily via Facilities Management Department (FM) operating budgets, to the extent funding is available.

Capital Asset Management (CAM) is funded via special allocations, investment income from quasi-endowments, debt, FM service charges, Plant Renewal budgets or other sources.

Routine Maintenance and CAM for auxiliary fund facilities are funded by the respective auxiliary operations, except where otherwise defined by formal management agreements. Auxiliary units are also responsible for funding maintenance costs for parking facilities primarily designated for auxiliary use.

Miscellaneous FM Services are funded by requesting departments via FM service charges or external contractors.

All CAM projects needing to be accounted for in the plant fund require FM approval to establish a Banner fund.

SCOPE AND APPLICABILITY: This policy applies to all university departments involved in requesting, funding, managing or performing Routine Maintenance, CAM and Miscellaneous FM Services.

DEFINITIONS:

Capital Asset Management (CAM): CAM includes three distinct components and is funded from various sources, including Plant Renewal budgets and departmental operating budgets, but not from FM operating budgets.

Deferred Plant Renewal: Deferred Plant Renewal (more commonly known as “Deferred Maintenance”) encompasses Plant Renewal measures that are not carried out because of under-funding in the budgeting process or perceived low priority.

Miscellaneous FM Services: Miscellaneous FM Services include vehicle maintenance, athletic field materials, contract services, moving and set-up, painting (non-classroom), flooring and support services for special events, and are funded from the operating budgets or reserves of requesting departments.

Plant Adaptation: Plant Adaptation includes renovations, remodeling and alterations which convert or upgrade space for a new or revised purpose or program. It also includes functional improvements in response to changes in academic disciplines; shifting expectations for academic and administrative support services; and altered markets for faculty and students.

Plant Renewal: Plant Renewal is cyclical repair and replacement that extends the life and retains the usable condition of campus facilities and systems. It also includes necessary upgrades related to code changes and new environmental and safety standards. Plant Renewal requirements are normally predictable through life-cycle analysis (e.g., roofing, heating and cooling systems, electrical switchgear, etc.).

Routine Maintenance: Routine Maintenance is repairs and physical improvements of a planned, preventive nature. Funding for Routine Maintenance is typically budgeted via operating budgets.

PROCEDURES: FM’s operating budget is funded for general fund Routine Maintenance services. In addition, some funding is provided for limited Plant Renewal and Deferred Plant Renewal projects. FM’s budgets do not include funding for Plant Adaptation, Miscellaneous FM Services or auxiliary facilities. Therefore, requests to meet new program needs involving Plant Adaptation or Miscellaneous FM Services must be funded by the requesting entity or special allocations. A funding source must be provided with the request for such services. (See the Appendix for Attachment 1, which provides a detailed matrix of facilities components and the associated funding responsibility for repair, replacement and renovation activities.)

General fund buildings may contain programs or activities that are not general fund supported; and auxiliary buildings may contain programs or activities which are general fund supported.

Therefore, when determining the funding source for Routine Maintenance the following applies:

1. **General Fund Buildings without Auxiliary Activities:** Routine Maintenance costs of general fund buildings will be funded from the FM operating budget, to the extent funding is available.
2. **General Fund Buildings with Auxiliary Activities:** Routine Maintenance costs for auxiliary programs or activities in general fund buildings will be charged to the appropriate auxiliary entity unless the maintenance or repair item is clearly part of the general fund building's general function such as main electrical feeds, air handling systems, structural and mechanical systems, etc. FM will maintain utility infrastructure, excluding distribution systems.
3. **Auxiliary Buildings without General Fund Activities:** Costs of Routine Maintenance in auxiliary buildings will be charged to the appropriate auxiliary entity.
4. **Auxiliary Buildings with General Fund Activities:** For Routine Maintenance needed by a general fund program or activity in an auxiliary building, funding will come from the FM operating budget, to the extent funding is available.
5. **Other Funding Circumstances:** When a funding source is disputed, the issue should be submitted to the Senior Vice President for Finance and Administration for resolution.

Auxiliary Facilities Funding Responsibilities: Auxiliary fund facilities include, but are not limited to, Housing, Golf and Learning Center, Meadow Brook Hall, Meadow Brook Amphitheatre, Meadow Brook Theatre, Oakland Center, OU INC., and Shotwell Pavilion, except as otherwise defined by management agreements. Auxiliary units are responsible for Routine Maintenance and CAM costs associated with parking lots designated primarily for auxiliary patrons. Examples of such facilities include P-51, P-61, Nicholson Apartments (P-9), and Matthews Apartments (P-12).

Plant Fund funds: Banner funds in the plant fund should be established for all Routine Maintenance, CAM and Facilities Management-provided Miscellaneous FM Services projects with budgets exceeding \$50,000. In addition, Banner funds may also be established for smaller projects when separate tracking of funding sources and expenses is beneficial.

Service Requests: All requests for Routine Maintenance, Capital Asset Maintenance, and Miscellaneous FM Services, including a projected/requested

timeline, are to be submitted to FM, via work order requests to the Work Control Center (see OU AP&P #380 Work Orders).

RELATED POLICIES AND FORMS:

[OU AP&P #380 Work Orders](#)

APPENDIX:

Attachment 1 - Facilities Management Service Charges